

- final section — comparative method — weaknesses, virtues, peculiarities of other systems — allayed fears on import of foreign systems — borrow some aspects — more efficient admin methods — democracy to meet challenge of chaos from within and force from without
- Riggs — Wilson — loyal to democracy — won't have approved export of admin technology to non-dem countries — would have recommended prioritizing political development — Wilson was aware of political context of admin reform & dev admin.
- Heady — no restriction on export of admin technology → exclusive attention to import circumstances
- CONCLUSION
  - SoA — Birth of PA as self-conscious enquiry
  - recognized field of study ✓
  - uncertainty about actual substance — SoA
  - Waldo — inconsistency — Separability & Insep.
  - too general, broad, vague — SoA — ambivalence on many issues ✓
  - ✓ Van Riper — ascribes initial ver. of Amer. Admin. study to founding fathers — not Wilson
  - Notwithstanding limitations, work "seminal" ✓

2

41 - 1st hand  
J.

## <HENRI FAYOL>

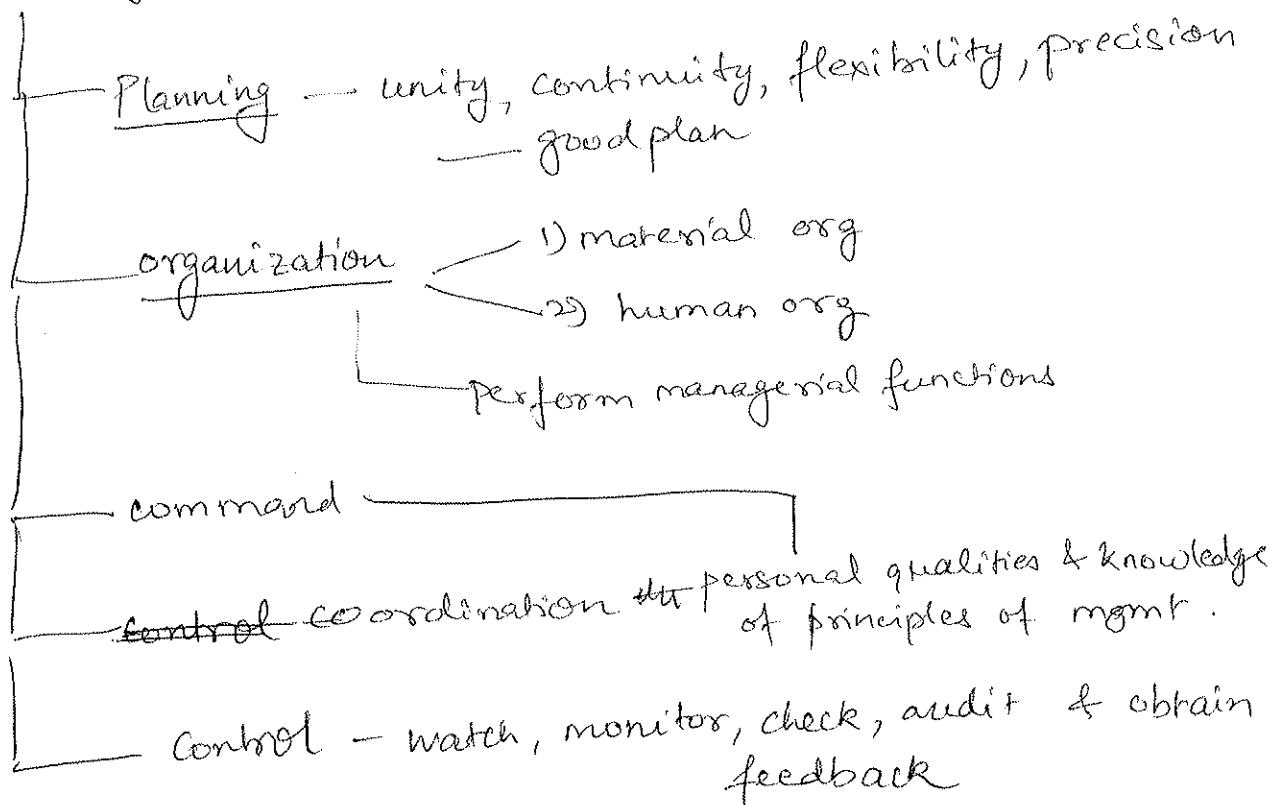
- founder of the 'Management Process School' ✓
- Fayol preceded Taylor but his works reached ~~America~~  
countries outside Europe late — translation into English
- Scientific management — for long considered an  
American invention — Taylor — but — European  
management thought — Fayol ✓
- Administration Industrielle et Generale — France —  
1916 — English speaking countries — 1929
- 1841 — French middle class — mining engr — S.A.  
Commentry Fourchambault — 1860 — MD — 1888 — 1918  
— remained Director till death — 1925
- as MD — company — bankruptcy — out of — success  
— Urwick states — "one of the romances of French Industrial  
history"
- Cartesian Philosophy, Adam Smith's work — influenced  
Fayol — functionalism — Adam Smith's ideas on division  
of labor
- bulk of ideas — his reflections ~~has~~ manager
- GIM — General and Industrial Management — 1916 — still  
frequently reprinted

— paper on "Theory of Admin in State" — major contribution

### Basic Ideas

- Administrative Theory — universal — no demarcation/distinction between management & Pub Ad — false & misleading — broadened the field of admin science — intention was to initiate a theoretical analysis appropriate to a wide range of organizations
- ideas about managerial activities — in context with industrial undertakings — six groups of activities — technical, Commercial, Financial, Security, Accounting,

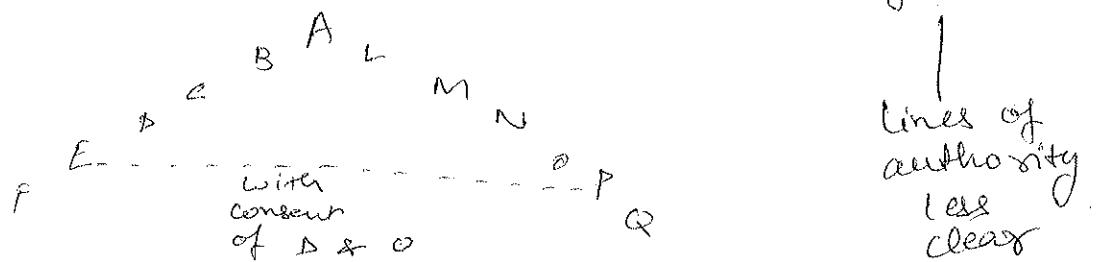
### Managerial



- principles of mgmt — not rigid — capable of adaptation to various enterprises and settings
- fourteen principles derived from discussion of mgmt. theory.
  - division of labour → spl<sup>m</sup> of labour — better work
  - authority & responsibility — commensurate
  - discipline — obedience — standing agreement  
— firm and employees
  - Unity of command — only one boss
  - unity of direction — one head, one plan for each activity
  - General Interest > Individual interest
  - Remuneration of Personnel
  - Centralisation
  - Scalar Chain (Hierarchy)
  - Order (Placement)
  - Equity — based on kindness and justice in employer-employee relations.
  - Stability of tenure of personnel
  - Initiative — think afresh — motivator
  - Esprit de corps



- need for training — right from school — continuous process — inservice training — superior officer — teacher
- gang plank — need for level jumping — less relevant to govt agencies



### Fayol vs Taylor

- both pioneers of scientific management
- theory based on observation of practical issues
- Fayol — stable European pattern of life — Taylor — dynamic capitalist industrial org @ USA
- Taylor — specific mgmt. principles — to be used directly in production. Fayol — general theory of mgmt. admn. — applied to top mgmt. level — mgmt. not viewed as workshop mgmt by Fayol — unlike Taylors — but universal set of principles applicable to any type of functional & org setting
- despite dissimilarities — complementary — need to arrange material, processes, resources & ppl into a structural hierarchy that is more or less permanent.  
↳ starting point for accomplishing org. objectives
- mechanistic approach — remains useful — combined with behavioral sciences

- Criticism — structuralists — Fayol neglected structural aspect while — attention — functional classification.
- Peter Drucker — major critic — imposing 'ideal' 'universal' mechanistic model on living biz — 14 principles — great deal of overlapping
- Functionalism — empirically expedient — but — deficient in design and logic — takes single dimension of mgmt to determine all facets of org structure around it — narrow empirical base — Fayol — for generating full fledged theory
- modern businesses, — larger, complex, dynamic, orgs  
more entrepreneurial than typical mining firm of Fayol's time — demand performance capacities > Fayol's functional principles — limitation of functionalism  
 — " should be used only as one of several principles — <sup>not</sup> for larger & innovative orgs.
- unity of command — dysfunctional to org — recent critics — chief executive ~~elsewhere~~ — prob of coordination
- Value judgments — 'Should', 'ought' statements — lack of experimental basis — Barnard & Simon
- Participant behavior departs from planned behavior

# functionalist Fayol

- Fayol's contri' to Admn Theory —
- rudiments of both Weber — Soc. Sc. generaliz. — Taylor — empiricism
- predilection — macro level theory — Weberian flavor
- Univ. Sc. of mgmt — 'commerce, indu., pol., rel., war, philanth.'
- pragmatic approach — success of enterprise —
  - px simple methods — logical & coherent (like Taylor)
- rational sys. of org — primary goal of org — objective
- mgmt — made of functions — pioneer
- emp. — higher levels — technical knowledge ↑ —
  - adm. skill & knowledge ↑
- principles still used — unity of direction —
  - unity of command — authority & responsibility
- still best way to structure small biz
- Albers — Fayol nor ignorant of human factor —
  - human relations approach with employees as C.Exec,
- Broader HR conception than Taylor
- Bertram Gross — personnel is for Fayol the essence of Org
- Fayol — no rigid rules — mgmt affairs — views subject to improvement, revision — Fayol admitted

## Administrative thought

1. Scientific Management and Sc. Mgmt. Movement ✓
2. Classical Theory
3. Weber's bureaucratic model - its critique & post-Weberian dev?
4. Dynamic administration (Mary Parker Follett)
5. Human Relations School (Elton Mayo & others)
6. Functions of the Executive (C.I. Barnard)
7. Simon's decision-making theory
8. Participative mgmt. (R. Likert, C. Argyris, D. McGregor)



## <SCIENTIFIC MANAGEMENT>

### Fredrick Winslow Taylor

— turn of 20<sup>th</sup> century — first serious effort — researches in mgmt. of industry in USA — FW Taylor — not a pioneer but first to directly analyze work methods — "best mgmt. is true science" — applicable to all human activities — Father of scientific management + pioneer of modern management approaches and techniques

Born in Germany — 1856 — educated @ France & Germany — passed Harvard entrance exam with hon's — couldn't continue — impaired eyesight — studying by kerosene light — age 18 — apprentice @ Enterprise hydraulic work @ Philadelphia — 4 years — mech engg — Chief engg — Midvale — 1890 — GM of Manu. Inv. Comp. — Maine — Invented production technologies — 1901 onwards to 1915 — Scientific mgmt techniques

late 19<sup>th</sup> century — managerial class — American biz — mgmt practices — from day to day problem solving — to all inclusive — comprehensive — long-run approach — ~~as~~ encounter new problems — prominent leaders — Henry Metcalf — Henry R. Towne — unified system of mgmt — Towne — 1886 — "~~The Sc. of mgmt~~" — ASME "The Engr. as an Economist" — ASME — Taylor — inspired — papers — A Piece-Rate System (1895) — Shop Mgmt (1903) — Art of Cutting Metals (1906) — Principles of Sc. Mgmt (1911)

Towne

called it Sc. of Mgmt

Piece Rate System — outstanding control — wage payment principles

a) Observation and analysis of work

through time study to set the 'rate' or standard

b) differential rate system of piece work

c) paying men & not positions

Shop Mgmt. — Work shop org. & mgmt. — focussed attention to his philosophy of mgmt.

1) objective of mgmt → ↑ wages + ↓ production costs  
⇒ ↑ industrial efficiency

2) apply sc. methods of research & experiment to mgmt problems

3) Standardization of work conditions & placing of workers on basis of scientific criteria

4) formal training & specific instructions to workers  
— to perform prescribed motions — with stand<sup>rd</sup> tools

5) ♀. mgmt ↔ workers — friendly cooperation — scientific system of labour org.

— Taylor — new & total concept of mgmt — traditional managers from authoritarian — to — broader view of job — comprehensive responsibility — planning — organising — controlling

- Studies at Midvale - mgmt defects -
  - no clarity - worker-mgmt responsibilities
  - lack of - effective work standards
  - soldering of work by workers
    - { natural
    - systematic
- failure - proper designing of jobs
- lack - proper incentives to overcome soldering
- mgmt decisions - unscientific - hunch - intuition -  
past experience - rule of thumb
- lack - proper studies - division of work - deps
- placement - without considering - ability, aptitude,  
interest
- labor - ~~vs~~ management conflict - science of work studies -  
26 years - 30,000 experiments, - 200,000 \$, - HSS Steel -  
Midvale & Bethlehem - ASME - paper - Art of Cutting  
Metals - most remarkable ever - breakthrough in  
dev of American Industry
- motion & time study expts - how - workers handle equip -  
coordinated syst. of shop mgmt. - scientific determination  
of workers' ability in dealing with equip. & materials -  
true beginning of Sc. Mgmt.

- Shop system - controlled conditions - time taken - task-controlled methods - right ways to perform tasks - stopwatch - empirically instead of traditionally
- Sc. method of selection - effective supervision of worker + ~~the~~ environment - match ~~worker~~ abilities with job - personnel mgmt.

Taylor's concept of Mgmt - principal object - max prosperity for all - sc. mgmt → no inherent conflict of interests - primary concern - results of ↑ productivity - benefit all

Also, mgmt - take responsibility - determining standards, planning, org. contr., devising incentive schemes

### Principles of Sc. Mgmt

close collab + deliberate coop - work-mgmt - sc. prime methods application - society prosperity; Mgmt philosophy - mutual interests + 4 basic principles -

- 1) Dev of True Sc. of Wsk
- 2) Sc. Selection & progressive dev of workmen
- 3) bringing tog. sc. of work + sc. selected, <sup>trained</sup> workmen
- 4) division of work + responsibility - mgmt works

- Dev of true science of work - science ↔ organized knowledge - every art of worker → science - interest of mgmt & worker - large daily task - optimum conditions - fair day's work - traditional knowledge of workers - gather - tabulate - classified - reduced - rules - laws - one best way of doing job - production ↑ - wage ↑ - profit ↑
- Scientific selection & prog. dev of workmen - scientific selection - physical - intellectual - aptitude, - capabilities - nature - performance - limitations - possibilities - potential in every worker - systematic & thorough training - resp. of mgmt - opportunities for advancement - realization of capacities - employees - accepts new methods, tools & conditions etc.
- Bringing together - - - - - inspire workers - mgmt responsible - this process causes MENTAL REVOLUTION
- Division of work & resp. - mgmt & workers  
 traditional mgmt theory - less resp. with mgmt - Taylor's sc. mgmt - equal resp b/w mgmt & workers - equally busy - understanding & mutual dependence - constant & intimate cooperation - elimination of strikes / conflicts.

## Philosophy of above principles -

- (i) Science, not rule of thumb
- (ii) Harmony, not discord
- (iii) Cooperation, not individualism
- (iv) Max output, not restricted output
- (v) Development in each, greatest efficiency and prosperity

functional foremanship — doubted efficacy — linear = military type of system org — replaced with functional foremanship — worker — orders from — by narrowly specialized supervisors — planning, execution — division of work at supervisory level as well — training quick — specialization easy — concept applied — staff specialist in line and staff concept

- 9 qualities of good foreman — education, special / technical knowledge, dexterity, strength, grit, honesty, tact, energy, good health, judgement

- other mechanisms to support principles of sc. mgmt -

time study, standardization, planning room/dsp, exception principle in mgmt, slide rules — time saving, instruction cards, task idea — bonus on success, differential rate, mnemonic systems — classifying products & implements, routing system, modern cost system, etc.

- efficiency — wages — costs — time/motion study — fn foremanship
- not sc. mgmt — but useful adjunct to sc. mgmt  
and other systems of mgmt as well.
- mental rev — worker mgmt — mutual coop. — mutual interest —  
mutual prosperity → sc. mgmt. possible
- revolution — mental attitude — both sides — jointly work  
on increasing surplus — not fight on how to divide —  
increase surplus — unnecessary to quarrel — wages ↑  
profits ↑ — shoulder to shoulder rather than pulling  
against — coop — helpfulness — ample room —  
wages & profit

### Criticism

trade unions against — Taylorism destroying trade unionism  
& collective bargaining — menace — unemployment — 1912 —  
Special committee — House of Rep — investigate — favored none —  
1915 — amendment — Army App. Act — banned stopwatches, bonuses

Robert Moxie — USCIR — mechanistic — no human aspects —  
basic ideals — sc. mgmt — labour unionism — incompatible

Managers — education was necessary in Taylor's method (+ training)  
— qualified/unqualified to manage

Oliver Sheldon, Mary Parker Follett, Sam Lewis John, Elton Mayo,

Peter Drucker & others — human factor missing

— criticism — led to — expts — ~~human go~~ industrial sociology  
& social psychology — Hawthorne expts — Mayo

- Taylor - men were generally lazy - Browne - social and physical conditions of job to blame
- Elton Mayo - Hawthorne Investigations - emotional att' of workers towards work & colleagues imp.
- Another criticism - minute division of work - depersonalized - worker mere cog in machine - lack of sense of participation - automation of workers - physiological & neurological consequences
- aptly put by Peter Drucker - org - poor engg - relations - efficiency - output
- Sep b/w planning & executive - team spirit & participation  
of workers in progress
- division & subdivision of work - law of diminishing returns
- Herbert A. Simon & March - physiological org theory

Conclusion Despite limitations - supremely important

- Pioneer - first - better performance - quant techniques - sc. mgmt - OR - time - method - sys. etc. - heritage - movement - young engrs - sc. - industry - spread - Europe - Russia - curriculum - engrs

## <MAX WEBER>

Study of administrative sciences - bureaucracy - significant - power-control tandem - organised situations - Weber - unique place - diversified fields of study - immortality - half century - either different versions or attempts to contradict - Weberian - point of beginning - legitimacy & domination - basis - further studies.

Law student - papers on social, political, economic factors - Eco prof - Fideburg - Heidelberg - urge - analysis - systematised study - 13 yrs age - practical > theoretical - progressive outlook yet conservative at heart - writings reflect social conditions of Germany at the time - power politics

## Power, control, authority

Power - in a social relationship, will enforced despite resistance  
And such exercise of power becomes controlled.

vested control authority → specific command - obedience - specific individuals → structuring of human groups

Authority = authoritarian power of command

Components

- 1) Ruler
- 2) Ruled
- 3) Will of rulers to influence conduct of ruled & expression of that will
- 4) evidence of influence of rulers - objective degree of command
- 5) evidence - subjective acceptance - ruled obey command

Authority - exists - when accepted as legitimate - by ruled

Weber - "All administration means domination"

persons  
in  
organizations

accustomed to obey commands  
Want - domination continue - derive benefits  
participate in domination - exercise of  
ready to exercise these pns among them

Authority:

3 states of legitimacy

legal Authority - rules applied judicially + accordance with ascertainable principles - valid for all members -

superiors - exercise power - appointed/elected - legal procedure - maintain legal order - subjects - obey law - apparatus that implements - subject to same principles - continuous org - subject to rules - delimit authority - necessary control over its exercise

Traditional - legitimacy - acceptance - hoary past - Masters - followers - customs - inherited status - personal arbitrariness - pious regard - time-honoured status - loyalty - patrimonial regime - whims and fancies of master - legitimized in name of tradition

Charismatic - leader - prophet - hero - demagogue - magical powers - heroism - extraord gift - qualities - Charisma & its acceptance - Legitimacy - disciples/followers - devotion - likes/dislikes of leader

Bureaucracy - French economist M. de Gournay - coined - 1<sup>st</sup> 18<sup>th</sup> cent.

British social scientists - 19<sup>th</sup> cent - J.S. Mill, Mosca, Michels -

Weber - 1<sup>st</sup> social sc - systematic study - b<sup>coy</sup> and its characteristics

- "administrative body of appointed officials" — patrimonial (traditional & charismatic) & legal - rational ← Weberian model

\* characteristics of bureaucracy: Pg 81, Prasad & Prasad.

Characteristics of the official - personally free - contractual official position - authority as per impersonal rules - faithful execution of official duties - placement as per qualifications - full time occupation - regular salary - regular advancement

Weber - legal rational bureaucracy - superior - admin systems - permanent & indispensable

Main elements - Impersonal order - Rules - Sphere of Competence - Hierarchy - Personal and Public ends - Written Docs

Impersonal order - Merton - "authority inheres in the office and not in the official" - depersonalization - act on behalf of organization - not likes/dislikes

Rules — Weber — applicable to all — prevent arbitrariness — efficiency — avoid mistakes — Merton — means becomes an end itself — Rules become more important than the game — also cause procedural delays — complications in admin.

Sphere of Competence — 1) obligation to perform functions  
2) authority & function 3) means of compulsion subject to definite conditions in their uses.

Hierarchy — chain of command — vertical coordination — efficiency — higher level — broader mental horizon

Personal and Public ends — no ownership — means of production or administration. — no appropriation of official position — necessary checks on bureaucrats — relevant to any system

Written Docs : acts, decisions, rules — recorded in writing — even if oral discussion is rule / mandatory — Docs make admin accountable — ready reference — future action

- selection of technically qualified ppl - fixed salary -  
 money - full time - promotion - discipline -  
 control - Weber - monocratic bureaucratic org -  
 capable - highest degree of efficiency.

### CRITICISM

rationality?

different places, changing times - suitability

efficiency - maximum?

Robert Merton - dysfunctional

Phillip Selznick - sub units - own goals - conflict -  
 Org -

both - Weberian org structure - insufficient -

b<sup>ct</sup> behavior - social beings -

1930s - insights - behavioral - industrial  
 sociology

Talcott Parsons (Translator - Wirtschaft & Gesellschaft)

↓ L whom to obey - one with right to command  
 not always possible greater expertise  
 to ensure - high position = prof. skill

Simon & March - Weber - classical - Gulick, Urwick -  
 no behavioral asp.

Alvin Gouldner - bureaucracy → punishment centered  
→ ~~ambiguity~~ → ~~multidim.~~ → representative  
orientation/compliance - rules - environmental factors - Weberian model does not consider

Rudolf - complains - rational machine - admin - officials - technical functionaries

Reinhard Bendix - biographer of Weber - adherence to rule not possible without intrusion of general soc & pol values - attitudes play dominant role

Peter Blau - different places and times - perpetual change - bureaucratic structure - adapt/adopt behavior - purposes of organization - individual + efficiency - not by tethering to rigid rules.

Robert Presthus - human motivations can't be subsumed

William Delaney - Patrimonial more conducive - underdeveloped societies - economic growth

Joseph La Palombara - Russian & Chinese methods - effective - developing societies

- H.C. Creel & A.B. Spitzer - not a modern phenomenon -  
China - 200 BC - French Prefect - 19<sup>th</sup> Century
- Weber - as far as Egyptian civ - Gouldner - Weber - constant elements - regardless - social structures
- Frederick Bürk - doctrines of accountability, public liability - ignored
- Simon and Barnard - efficiency ↓ - Weber - efficiency ↑ - informal relations
- Gouldner - internal inconsistencies
  - claims of expertise
  - claims of obedience
- La Palombara - India - ICS - not useful as development entrepreneurs - rigidly tied - status - hierarchy - impartiality
- William Delaney - little applicability outside Europe, US, Commonwealth
- Selznick - bureaucrats - become - power mongers - clandestine motives - neutrality compromised - political activity around
- hierarchy - mutual suspicion - team spirit ↓
- documents - expert - glorified clerk - formalism - excessive - efficiency ↓